Standards-Based Reform and Accountability

Getting Back on Course

By Lauren Resnick and Chris Zurawsky

he last 15 years have witnessed a profound seachange in American education. Labeled "standards-based education," the shift has involved important changes in the basic mode of operation of our schools and has greatly affected the lives of teachers and other educators. It has entailed a greater emphasis on academic achievement, a more urgent commitment to equity in academic opportunity (especially for minority and other academically at-risk students), a shift in the locus of decision-making about what should be taught to students—away from individual teachers and local schools toward districts, states, and even national standard-setting bodies—and much greater accountability, meaning consequences for students and/or schools when academic goals are not met.

Taken together, these changes are creating difficult challenges for front-line educators. Educators have been asked to teach all students to high levels (levels once reserved for the best prepared and most privileged students)—but because of the widespread lack of adequate support and preparation, teachers frequently feel they are being told to do the impossible. States and districts are telling schools and teachers what they should teach and how they should teach it, at lev-

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els of detail rarely experienced in the recent history of American schooling. The push for better performance in the core subjects of math and reading often seems to be driving nearly everything else out of the curriculum. In a recent survey by the Center on Education Policy, "27 percent of districts reported that time devoted to social studies had been reduced, almost a fourth reported that time in science, art, and music had been reduced, and 10 percent reported that time given to physical education had been reduced" (CEP, 2005). For many people, it seems as though prepping for tests is taking up more and more of the school day (Olson, 2002), and there is little time left for deep reading, extended essays, science experiments, or theater productions. In some localities, parents have protested, school boards have resisted, and even several state legislatures have called for rollbacks in the federal No Child Left Behind Act, which the legislatures believe is forcing federal control and "standardization" upon a land proud of local educational independence.

t about 15 years of age, the standards movement is in its adolescence, and many are already preparing to kick it out of the house. Before we give up on our unruly teen, however, let's take a clear look at what we have to be proud of, what flaws we need to address, and what might be the benefits of pressing ahead. We have serious questions to ask: Where did the idea of standards as a foundation for an education system come from? And how did tests come to run the show? Is there any evidence that poor and minority students are benefiting from a standards-based system? Is overall academic performance really improving? Or, are we busy tearing down an education system that was pretty good and pretty equitable? In short, is there enough gain to warrant the pain?

Our own answer is a qualified yes. We think that the effort to create a standards-based system for American schools is just and relevant, and it is starting to work, especially for the poorest children in the most challenged schools. For the

first time in our history, American schools are truly focused on fostering the academic achievement of all students. And it is happening at the same time that we are devoting unprecedented attention and care to the education of children who come from low-income, minority, and immigrant families. We can see this in a decade's worth of increased budgets for early education, of increased state and federal budget allocations for K-12 education, and of what appears to be a growing commitment at the state and local level to supporting programs aimed at helping the lowest performing schools and students (CEP, 2005). For example, state funding for prekindergarten (for which most states limit eligibility to low-income and other at-risk children) increased from about \$267 million in 1988 to \$2.54 billion (in constant dollars) in 2002-03 (Barnett, 2005; Barnett et al., 2004); federal funding for K-12 education has increased from \$29.6 billion to \$59.7 billion in constant dollars between 1990 and 2003, though the increases have now slowed (Sonnenberg, 2004); and, on average, states' real per capita expenditures on elementary and secondary education increased by 24 percent between 1988 and 1997 (Merriman, 2000).

The full picture of student achievement growth over the past decade can't yet be drawn. Much has happened that will never be captured in data, much data linger unanalyzed, and, not surprisingly, much data remain in dispute. Thus, the debates about how the positive and the negative effects of standards-based reform balance out will continue. But for us, the weight of the evidence indicates that student achievement, especially among the most disadvantaged students in the poorest districts, is increasing—and is doing so thanks in large part to the reforms and resources generated by the standards-based education and accountability movement.

As part of our work at the University of Pittsburgh's Institute for Learning, we regularly examine student achievement data from our partner school districts. In these districts, where standards are being translated into systematic programs of instruction and are increasingly backed by professional development, the effects are now clearly visible in elementary school reading and mathematics performance. To take three examples: Since 1999, the Saint Paul Public Schools have made significant progress in raising academic achievement in reading and math, especially among minorities. Between 1999 and 2004, the percentage of 5th-grade students who scored proficient or above in reading on the Minnesota Comprehensive Assessments went from 31 percent to 54 percent for American Indian students, 29 percent to 49 percent for Hispanics, and 26 percent to 46 percent for African Americans. In Austin, Texas, every student group showed significant gains in passing the state reading assessments for 3rd and 5th grades between 2003 and 2005. The rate for African-American 3rd-graders, for example, grew from 64 percent to 78 percent; and for 5th-graders, it grew from 49 percent to 60 percent. There was slightly smaller but still significant improvement for Hispanic and economically disadvantaged students. Providence, R.I., is also showing gains in student achievement. In 2002, only a handful of schools met NCLB's target, but in 2004, almost all schools met the target for all ethnic groups.

These results don't appear to be isolated. According to the Council of Great City Schools (CGCS, 2005), "55.3 percent of 4th-grade students in the Great City Schools scored at or above proficiency levels in math in 2004, compared with 50.8 percent in 2003 and 44.1 percent in 2002." Results in reading are similar, with proficiency rising from 43.1 percent of 4th-grade students in 2002 to 51.0 percent in 2004. Perhaps the most encouraging data come from the National Assessment of Educational Progress, which showed large gains in math and smaller but promising gains in reading during the 1990s (Jennings and Hamilton, 2004).

this is not to say all is well. The achievement goals of this education reform movement are ambitious and the large-scale efforts to reach them are recent and previously untried. As a result, the on-the-ground path to improvement is largely new and uncharted and filled with all the extra work and frustration of trial and error—false starts, wrong paths taken, constant rethinking. Further, the gains to date appear mainly to have elicited a rise in the achievement floor.

If we are to expand on these gains, we must figure out how to amend and facilitate and thereby strengthen our national experiment in school reform. To do so, we must first go back in time and consider the conditions that launched this movement and gave rise to the high hopes for standards-based education reform.

I. The World that Launched Standards-Based Reform

Put yourself back in the Zeitgeist of roughly 1980 to the mid-1990s. Our nation's schools had been expanding access in previously unimaginable ways. With Brown v. Board of Education, the Supreme Court ended de jure segregation, thereby requiring the previously all-white school system to address the needs of black students, a challenge it was still working to meet 30 years later. The Education for All Handicapped Children Act (now known as IDEA), passed in 1975, guaranteed a free and appropriate education to children with disabilities—from the learning disabled, to the blind, the emotionally disturbed, and the mentally retarded. At the same time, new waves of immigration, mainly from poor countries in Latin American and Asia, had increased the number of students who spoke English with difficulty from 2.2 million in 1979 to 4.2 million in 1995 (Mandlawitz, 2005). By the end of the 1980s, America's public schools were serving all of these children, children who earlier in our history were segregated, isolated at home, or sent into the workforce at an early age. With all of this, the percentage of high school graduates (as a ratio of the 17-yearold population) increased from 51 percent in 1940 to 74 percent in 1990 (National Center for Education Statistics, 2003).*

^{*} Since researchers have yet to agree on the proper way to calculate graduation rates (e.g., whether or not to include people who have earned a GED), readers have probably seen higher and lower graduation rates than these from NCES.

America could be proud that so many young people had access to an education. But what was the quality of the education they had access to? A crisis was first signaled publicly in the U.S. Department of Education's seminal 1983 report, A Nation At Risk (National Commission on Excellence in Education, 1983). Using data from international comparisons of educational achievement and research on course-taking in American high schools, it concluded that the U.S. was at risk of losing its lead in mathematics, science, and technology. Among its findings:

- Only 31 percent of recent high school graduates completed intermediate algebra; only 16 percent geography; and, partly because it wasn't even offered in 40 percent of schools, only 6 percent completed calculus.
- For students in the general track, 25 percent of their credits were earned outside regular academic courses, including in physical and health education, but also in remedial English and math and "personal service and development courses, such as training for adulthood and marriage."
- International comparisons of student achievement, completed a decade earlier, showed that "on 19 academic tests, American students were never first or second and, in comparison with other industrialized nations, were last seven times."
- High school graduates weren't cutting it in college. Remedial mathematics courses in public 4-year colleges increased by 72 percent between 1975 and 1980; by the early 1980s they made up 25 percent of all mathematics courses taught in those institutions.

These findings were bolstered by widely-read books on America's high schools. In *Horace's Compromise*, Theodore Sizer (1984) wrote that high school students and their teachers typically had a "bargain" in which the teachers wouldn't demand much effort and in return the kids would be "friendly and orderly." (A similar report came from Ernest Boyer's [1983] Carnegie Foundation study of high schools.) And, *The Shopping Mall High School: Winners and Losers in the Educational Marketplace* (Powell, et al., 1985) decried the "smorgasbord" curriculum, in which students could load up with remedial classes and courses with such easy-to-mock names as "Applied Communication," "Business Arithmetic," and "Foods" and never take a difficult math course or write a research paper—and still graduate with a high school diploma.

Throughout the 1980s, the call for higher achievement grew beyond the federal government and academia, spurred by the changing economy. In the early 1980s, the country was struggling against a recession and unemployment that went as high as 9.7 percent (Bureau of Labor Statistics, 2004). Powerhouse companies in Japan and Europe were competing successfully with American companies and, it seemed, jeopardizing our premier role in the world economy. Traditional well-paying jobs were disappearing and many people came to believe that a high-wage economy required a focus on "working smart"—that is, shifting away from jobs in which a strong back and willingness to work

were all that was needed to make a good start in America. Not surprisingly, the weight of the business community got behind major education reform.

Along with the push for global competitiveness, increasing attention was being paid to educational equity. The huge achievement gap between black and white was becoming increasingly obvious. As one example, in October 1977, when Florida sophomores faced a functional literacy test that was a new requirement for a diploma, 78 percent of black students—but only 25 percent of white students—failed (Debra P. et al., 1979). And Florida was not alone. According to the National Assessment for Educational Progress (NAEP), throughout the 1980s, black 12th-grade students' scores in reading and math were about equal to those of white 8th-graders—and Hispanic students were not faring much better (NCES, 2000).

The growing perception among employers and higher education professors that the high school diploma had lost its luster; the nervousness about what all this would mean to our ability to compete in the increasingly global economy; the dramatic achievement gaps—all of these contributed to the growing belief among governors, policymakers, business leaders, and Americans generally that something had to be done to dramatically lift the quality of American education. By the end of the 1980s, many researchers and policymakers were beginning to converge on a solution.

The Promise of Standards-Based Reform

In the 1980s and early 1990s, while dissatisfaction was continuing to build, some policymakers and researchers looked overseas at the education systems that had performed well on a variety of international assessments (Resnick and Resnick, 1985). Virtually all had education systems that were anchored by a national or nationally coordinated curriculum, which outlined in some detail the content and skills that students were expected to learn. Typically, students across these countries studied a common curriculum through at least 4th grade (Germany) and often through 8th or 9th grade (France), with students then streaming into separate educational tracks.

The existence of the national curriculum allowed for the creation of an entire education system geared to helping teachers teach the curriculum well. Teacher preparation and ongoing professional development were powerful because they were tightly focused on helping teachers understand the material they needed to teach and how to teach it. In most of these countries, examinations given toward the end of secondary schooling were based directly on the national curriculum or publicly distributed syllabi. Publishing companies planned their textbooks and supporting materials around the specific syllabi and curricula. Finally, the curriculum and syllabi themselves were typically easily available to the public; it was even for sale at regular bookstores. As a result, students, parents, and teachers all knew what kids should be learning; the possibility that expectations for poor and affluent students, especially in the lower grades, would be quite different was greatly diminished (see box, p. 5).

An American Revolution: A Common Curriculum

Under Albert Shanker, president of the American Federation of Teachers from 1974 to 1997, AFT was one of the earliest advocates for high-quality, rigorous academic standards. In his weekly New York Times column, Shanker frequently explained the benefits of getting the standards and accountability movement right. This excerpt from his February 24, 1991, column highlights the benefits of a common curriculum.

-EDITORS

By Albert Shanker

In most countries with a common curriculum, linkage of curriculum assessment and teacher education is tight. Once you have a curriculum on which everyone agrees, you have an answer to the question of how to train teachers. They have to be able to teach the common curriculum. And you have an answer to the question about the level of understanding and skill student assessments should call for because you can base assessments on the common curriculum.

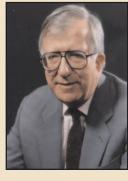
In the U.S., we have no such agreement about curriculum—and there is little connection between what students are supposed to learn, the knowledge on which they are assessed, and what we expect our teachers to know.

Each of our 15,000 school districts and 50 states has some rights in establishing curriculum. (And this is a nation where people move more often than in any other country in the world.)

In most countries with a national curriculum, tests usually consist of writing essays or solving problems based on what the students are supposed to know. And when youngsters, with the help of their teachers, prepare for these tests by answering questions that were on previous tests, it's a worthwhile educational experience. Writing an essay on the causes of World War I or presenting the arguments for and against imperialism is a good exercise in learning substance and in learning how to organize your thoughts. And the quality of the essay really shows how well the student has mastered the material.

In the U.S., we use multiple-choice tests to test little bits of knowledge that are not directly related to the curriculum. (In fact, because curricula vary by state or even school district, companies that design standardized, multiple-choice tests pride themselves on divorcing their tests from curriculum.) Since the tests are supposed to be a surprise, going over questions from previous tests is almost like cheating. It's

also a waste of time. Whatever little bits of information the kids do learn have no context, so they'll be forgotten in a hurry. And a person looking at the test



results will have no idea what they represent in terms of what the students know or can do.

Another disadvantage of not having a common curriculum is that we don't have any agreement on what teachers need to know. Colleges and universities can't train teachers on the basis of the curriculum they are going to teach, or assess them on how well they know it, because their students will end up teaching in many different school districts and many different states. What these students get instead are abstract courses that most teachers say were not even helpful in teaching them how to teach.

An archive of Albert Shanker's weekly column in the New York Times is available at http://nysut.org/shanker/.

II. An American Educational System Based on Standards

Americans liked the coherence, alignment, and achievement results of these systems, but their centralization grated against the American tradition of local control of schools. The search was on to find a uniquely American way to capture the benefits of an aligned education system, without losing local control. A great national discussion ensued. Among the strong public voices advocating an education system driven by clear, high, transparent academic standards was AFT's president, Albert Shanker, who wrote on the issue many times in his weekly *New York Times* column (see box above). An influential paper by Marshall Smith and Jennifer O'Day (which began circulating long before it was published in 1991) described a potential American version of such a steering system.

In 1989, the discussion moved to the top of the American agenda, when the National Governors Association (NGA) hosted the President's Education Summit with Governors.

The groundbreaking meeting endorsed the idea of national educational goals and a process for pursuing them that didn't undermine local control. From there the discussion moved to the newly established, bipartisan National Education Goals Panel (whose Resource Group on Student Achievement was chaired by Lauren Resnick, this article's lead author), and then to the congressionally authorized National Council on Education Standards and Testing, which included elected leaders from both parties and private individuals from the worlds of education (including Lauren Resnick), business, and other fields. Following much debate, discussion, and compromise, a rough consensus emerged, as captured in the documents produced by these various groups, on the main elements of what has come to be known as standards-based education. The basic tenets, which were further developed and honed in states and in federal legislation, included the following:

1. Use a public process—involving educators, parents, community members, and potential employers—to establish

common and transparent expectations, known formally as standards, for what students should know and be able to do upon graduation and at certain key earlier grade levels.

- 2. Develop assessments geared to standards that students could prepare for and that could provide clear targets for teachers' instructional work with students.
- 3. To preserve local control, encourage districts and schools to enact instructional programs explicitly geared to the standards and to organize continuing professional development around those programs. Pre-service teacher training, too, was to be organized around the standards.
- 4. Create accountability systems that are based on whether students are meeting the publicly set and assessed standards.

The idea was that a standards-based system could combine the positive aspects of centralized curricula with the in-

dividuality and energy of the American local control system. The standards and assessments would be set by public entities such as states, but the details of curriculum, teaching, and professional development would be left to districts and schools. The accountability systems, rather than detailed regulations, would structure the priorities of schools and districts and press them to make the changes necessary to deliver effective teaching to all of their students.

It was an imaginative effort to harness the power of alignment without diminishing local control. It's also now clear that the task left to schools and districts—to create their own curriculum and instructional programs and figure out how to reinvent themselves to effectively deliver those programs and do it quickly—was enormous. The capacity of the schools to dramatically improve education was quickly outpaced by the much faster moving development of assessments and accountability systems. And this created the diffi-

Lack of Equity, Quality Push Standards Forward in '90s

In 1994, the U.S. Department of Education, under President Clinton, released a startling report that documented how much less learning was expected of children in poor schools than in other schools (OERI, 1994). Researchers examined the

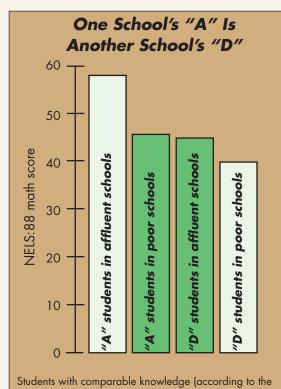
math and English grades received by a sampling of students from poor and affluent schools and compared these grades with the students' actual math achievement using test scores from the 1988 National Education Longitudinal Study (NELS:88). They

found that, on average, students with the same knowledge of math earned a "D" if they attended a low-poverty school—but earned an "A" if they attended a high-poverty school. (Results were similar for English.) In short, students in high-poverty schools were being held to lower standards than were their middle-class counterparts.

Then in 1995 came TIMSS, the Third International Math and Science Study, which compared student achievement in 41 countries (Beaton et al., 1996). On the 8th-grade math assessment, 25 countries met the study's methodological requirements. Of these 25, U.S. achievement was surpassed by 14 countries,

including all the Asian and about half the European countries. News stories were quick to point out that the countries we "beat" were the vastly poorer Lithuania, Cyprus, Portugal, and Iran.

Concerns about the lack of equity and quality among America's schools weighed heavily on the minds of governors, especially in the poorer South. Standards-based reform received an additional boost from RAND researchers David Grissmer and Ann Flanagan's reports (1998, 2000) showing that the two states with an early commitment to standards and accountability—Texas and North Carolina—were posting the greatest gains on NAEP. Grissmer and Flanagan reviewed NAEP data from 1992-1996 and found that, when controlling for demographic factors, North Carolina and Texas had "greater combined student achievement gains in math and reading than any other states." According to the researchers, in addition to having in place such prerequisites as pre-K and smaller classes for low-income students, "the most plausible explanation [is] found in the policy environment ... the keys ... include[d]: creating an aligned system of standards, curriculum, and assessments; [and] holding schools accountable for improvement by all students." -EDITORS



Students with comparable knowledge (according to the NELS:88 math test) received "A's" if they attended poor schools (where 76 to 100 percent of students receive free or reduced-price lunch), but received "D's" if they attended affluent schools (where 0 to 10 percent of students received free or reduced-price lunch).

culties mentioned earlier: the inadequate support for teachers to meet ambitious new educational goals, the excessive focus on test preparation—in fact, in many places, the virtual hijacking of standards and education by narrow tests.

How did we get here—and how can we get back to the original intent of the standards-based system? To answer these questions, we'll look first at the development of standards; second, at the difficulties that have been confronted in bringing standards-based assessments to life; third, at the ways in which curriculum and professional development have (or haven't) been built around these standards; and fourth, where the rubber really hits the road, the accountability rules brought to us by the No Child Left Behind Act, signed into law in 2002.

Standards

We begin with the academic standards. Who would write them? How detailed would they be? The years following the NGA's 1989 Summit were a time of ferment as states, associations of states, the federal government, professional societies, non-profits, school districts, and individual schools all set about writing standards. For a time, it looked as though the lead role might go to national professional associations, such as the National Council of Teachers of Mathematics (NCTM), which in 1989 had written the first set of homegrown national standards. But there were also large states that developed their own standards or "curriculum frameworks"; one of the first in this early generation of standards documents was California, which launched a set of curriculum frameworks starting in 1987. And, there were efforts, such as that of the New Standards Project (which Lauren Resnick codirected with Marc Tucker) to bring together consortia of states to prepare standards and related assessments (Viadero, 1994).

The first clear inkling that states would end up as the main makers and adopters of standards came in 1994. In that year, President Clinton signed the newly revised Elementary and Secondary Education Act (ESEA), renamed the Improving America's Schools Act, which required states to set statewide academic standards for its Title I students that were the same as the standards that existed for other students. This, of course, required any state that had not yet adopted standards to do so. The trend toward state standard-setting was locked in when No Child Left Behind (NCLB) became law in 2002. NCLB was yet another revision of ESEA, this one backed by President George W. Bush, with bipartisan congressional support.

Though the debate over who should set standards had subsided, there remained a question of just what standards should look like. How general? How specific? Should there be separate standards for every grade or should standards be specified just for broad "grade spans," such as 1-4 or 5-8? States that chose to set periodic rather than grade-by-grade standards did so for what seemed to be a good reason—a widely held view among policymakers and others that we did not want people from outside the local school district to control every step of the curriculum. The same thinking led some states, whether their standards were grade-by-grade or periodic, to develop very general standards, instead of more detailed ones that approached the specificity of a curriculum (although there were also several states whose standards were so specific and lengthy that they were impossible to actually teach). But this view, that standards should be vague and/or periodic, ran into trouble, as we will see, as assessment and accountability developed.

While standards have gone through more than one round of revision in most states, they continue to vary widely in style and quality. Recent analyses of the overall quality of standards show a mixed picture and sometimes fail to agree on which states have good or bad standards (Stotsky and Finn, 2005; Klein et al., 2005; Education Week, 2004). (For examples, see box below.)

Clearly, the low-quality of some states' standards is a major barrier to realizing the potential benefits of standards-based

STRONG STANDARDS

VS.

WEAK STANDARDS

SCIENCE

Describe how groups of elements can be classified based on similar properties, including highly reactive metals, less reactive metals, highly reactive nonmetals, less reactive nonmetals, and some almost completely nonreactive gases. (Grade 8)

Describe the historical and cultural conditions at the time of an invention or discovery, and analyze the societal impacts of that invention. (Grades 5-8)

SOCIAL STUDIES

Describe major rights, such as freedom of speech and freedom of religion, that people have under Indiana's Bill of Rights (Article I of the Constitution). (Grade 4)

Students will trace patterns of change and continuity in the history of their community, state, and nation and in the lives of people of various cultures from various periods. (Grade 4)

Above are examples of "weak" and "strong" standards, as evaluated by AFT Educational Issues staff. The AFT's annual evaluation of state standards was published as Making Standards Matter, from 1995 to 2001. Since the late nineties, the AFT's reviews have been published annually by Education Week. For more information, including AFT's current state-by-state analysis of standards, see www.aft.org/topics/sbr/.

—EDITORS

education. Good standards are the foundation for the other elements of standards-based reform: a rich curriculum that builds important knowledge and skills in a logical sequence, professional development that focuses on teaching the curriculum, and assessments that measure whether students are reaching the standards.

Assessments Aligned to Standards

Standard-setting was the crucial first step in building a standards-based education system. Next, in terms of attention and importance, was a new function for testing and assessment. Standards-based assessments were meant not just to judge performance by students and teachers (an accountability function, which we will discuss later), but also to serve as guideposts for teaching and learning. The idea was to create assessments that students could prepare for and that teachers could legitimately prepare students to do well on.

The idea of assessments designed to be taught to and studied for was new to most Americans (though in New York State, the Regents exams were of this type). But it was an idea familiar in most other developed countries that had for decades been using public examinations both as a basis for granting secondary school certificates and for university entrance (Resnick and Resnick, 1990). In examination-based education systems, it is normal and appropriate that curriculum and teaching are related to exams and aimed at helping students do well on them. In most European and Asian countries, for example, secondary school students take subject-matter examinations that are directly linked to a publicly specified curriculum. In some countries the exams are graded centrally by teams of teachers; in others, teachers grade the exams in their own schools and a sample of papers are graded centrally in order to "calibrate" local scores (so that grades coming from different schools, or even different cities, are comparable and students everywhere benefit from common expectations).

A crucial feature of these examinations is that students are rarely surprised by them. Both teachers and students know what to expect, indeed teachers draw on past exams as instructional guides. Not everyone likes all of the questions and study tasks, but teachers and students view the system as fair. What is more, external exams of this sort have the effect of turning students and teachers into a "team," jointly working towards exam preparation. Similar teaching is seen in the U.S., when teachers prepare students for such externally developed exams as the Advanced Placement, International Baccalaureate, or some statewide exams, rather than their own end-of-course tests (Resnick and Resnick, 1992).

Examinations of this sort can take multiple forms. They can be "on demand" assessments in which students respond to set questions, including multiple choice questions, short constructed responses, extended essays, "performance assessments," or extended pieces of student work produced over a longer period of time ("portfolio assessments"). An ordinary-looking test or an open-ended performance task becomes an examination when it is explicitly aligned to the curriculum or standards that students are meant to learn. Teaching toward well-constructed examinations is good professional practice.

Unfortunately, the tests that most states adopted to judge student progress toward state standards were not of this sort. Some states used the same tests (sometimes in adapted forms) that for years they had been purchasing from American testing companies, and these were not designed as exams. They were not systematically aligned to a specific curriculum or to standards that established what students should learn. Instead, they were designed to compare students with each other—spreading them out on a "bell curve." The most common way of describing how much students knew, based on these tests, was to declare their "percentile" scores. Typically, being "at grade level" simply meant that you were at the 50th percentile—half of the norming sample scored higher than you, half lower. To make the tests work this way, test developers collected large pools of items that were thought to sample the average curriculum in use in American schools, tried them out on large populations of students, and then performed sophisticated statistical analyses to pick out the items that best "discriminated" among students—that is, spread them out on a normal curve. This was a far cry from constructing a standards-referenced or curriculum-referenced exam, in which one started with what one expected students to learn and developed test questions (or performance tasks) explicitly to match the standards or curriculum.

Many teachers have objected to being pressured to teach to norm-referenced tests, and indeed teaching to these tests is a bad idea. They were not designed to be taught to. Because they were meant to be used by many different school systems using many different curricula, they were not aligned systematically to anyone's standards or teaching programs. In addition, because these tests depended on spreading students out on a curve, test items were retained or omitted in a test based on how they discriminated among students, not on how well they represented the standards to be taught. For all these reasons, it was impossible to tell from typical norm-referenced tests whether students were actually learning expected standards.

Unfortunately, the problem of weak tests, not fully aligned to standards, is not limited to recycled versions of "off-theshelf" tests. Even states that have constructed their own tests, based on their own standards, have largely relied on traditional test items and low-cost methods of scoring. But in a standards-based education system, everything depends on how well assessments actually represent the full range of standards, in both topical content and cognitive demand—and thus on what kinds of teaching and learning behavior they evoke. Unfortunately, most state tests are not well aligned to state standards. In some extreme cases, alignment between state standards and tests is so weak that the standards from one state more closely match the tests used in another state (Porter, 2002). Most state tests do not do a good job of assessing the full range of standards and objectives that the states have laid out for their students. In fact, research has found that what "is included and excluded is systematic: the most challenging objectives are the ones that are under-sampled or omitted entirely... [and those] that call for high-level reasoning are often omitted in favor of much simpler cognitive processes" (Olson, 2003). As a result, although most

state standards explicitly call for conceptual understanding and problem-solving, their tests often fail to assess these standards. When teachers match their teaching to what they expect to appear on state tests of this sort, students are likely to experience far more facts and routines than conceptual understanding and problem-solving in their curriculum.

One could argue that with these tests we could at least measure whether students were acquiring the basics and that, for some students, a concerted effort to assure that they acquire the basics represents an improvement. But, as we will see, with the addition of accountability—and without a curriculum that defines broader educational goals—narrow tests may not serve simply as a floor, but can become the de facto curriculum. In short, the tests can hijack the rest.

Curriculum and Professional Development Aligned to Standards

A strict test-based accountability system invites this kind of

test-matching behavior. In theory, it is the standards that teachers should be aiming for, but it is the far narrower tests that carry the consequences. Many principals distribute practice material designed to prepare students for the tests; and commercial test prep materials, billed as diagnostic and useable as a basis for differentiating instruction, can be bought easily from various publishers.

That kind of test-driven teaching was not the goal of the standards movement. According to the vision put forward by the Goals Panel and the National Council on Education Standards and Testing, school districts would develop rich instructional programs with strong content and good pedagogy that would be explicitly aligned with state standards (not tests). The system would be a *coherent whole*, its practical functioning boosted by ongoing professional development. Tests would be part of that whole, but they would be grounded in the standards. And the specifics of how students would be taught the standards would be left to local

Standards-Based Reform Brings New Attention to Key Elements Necessary for Improving Student Achievement

Standards-based reform and accountability have helped bring focus and attention to key elements necessary for improved student achievement, especially among poor and minority students in schools with the lowest levels of student achievement.

- Investment in early childhood **education is up:** Poor children come to school already far behind their middle-class peers (NCES, 2001). Policymakers who want to raise standards and require students to pass tests for promotion or graduation have realized that they will have to invest more heavily in quality early childhood experiences. State expenditures on early childhood education have increased from \$267 million in 1988 to \$2.54 billion (in constant dollars) in 2002-2003 (Barnett, 2005; Barnett et al., 2004). Unfortunately, as states face financial problems, they often cut these very programs.
- Investment in early reading is up: Likewise, there's a growing understanding that students will not meet increased high school graduation standards unless they've received excellent early reading instruction. In the last two decades, enormous advances have been made in defining effective read-

ing instruction. First under President Clinton, with the Reading Education Act, and now under President Bush, with \$1 billion in 2005 for the Reading First Act, the federal government is bringing the new knowledge to the nation's teachers. Many states (Texas, Maryland, California, Ohio, and Florida among them) have beefed-up their own investments in reading as well.

- Lawsuits requiring adequate funding are increasingly successful: For the 15 years from 1973 until 1988, only seven of 22 such lawsuits were victorious—but since 1989, 19 out of 29 have been successful (Vock, 2004). According to Michael Rebell (2004), executive director of the Campaign for Fiscal Equity, "It is not a coincidence that the implementation of standards-based reforms and the accelerating plaintiff successes in the education adequacy litigations have occurred almost simultaneously since 1989.... [T]he new state standards for defining and assessing educational achievement have provided courts with judicially manageable criteria for implementing workable remedies in cases where the courts have invalidated state education finance systems."
- Public confidence in schools is

rebounding: In the eighties, confidence in public schools reached a new low, according to the annual surveys published in Phi Delta Kappan magazine. In 1983, 31 percent of survey respondents gave their local public schools an "A" or "B" (White, 1983); in 1998, it was up to 62 percent (Rose and Gallup, 1998); and in 2002, it was up to 71 percent (Rose and Gallup, 2002). According to Public Agenda, which has tracked public views of education for over a decade, "surveys suggest that attitudes about local public schools have actually improved from 1998-2002—at least in the academic arena. Both professors and employers are less likely to say that local schools ask too little of students" (Johnson et al., 2003).

■ Teacher frustration with lax academic standards has greatly decreased: Polls of AFT teachers conducted by Peter D. Hart Research Associates in the early nineties showed significant dissatisfaction with low standards, including, for example, 46 percent of teachers saying that in 1994, they felt pressure to "pass students on to the next grade who really are not ready"; in the same poll, nearly one-third (30 percent) felt pres-

decision-makers.

This element of local decision-making was the major factor that made an American standards-based system different from the national curricula used by other countries. But is it working? The goal, remember, was to produce the benefits of Europe's and Asia's nationalized curricula—a common, transparent curriculum for all kids; a basis for powerful, focused pre-service and professional development; quality textbooks and curriculum materials; and an assessment system that would enable teachers, parents, students, and the country to measure students' progress toward mastering the curriculum—without actually producing a national curriculum.

To realize these benefits, someone has to create a curriculum or standards specific enough to carry the load that is carried by other countries' national curricula. It could be the state, the district, or an independent group. But without a common curriculum to serve as the anchor, standards-based reform cannot produce the aligned system of professional development, textbook and curriculum materials that was promised.

The bad news is that, as Achieve noted in a 2002 report, most states have not provided teachers or others with clear curricular guidance. According to an earlier 2001 report by the American Federation of Teachers, Making Standards Matter, only nine states had in place even half of what was necessary to provide teachers adequate curriculum guidance.

At the district level, the news has not, until very recently, been inspiring either. Our Institute for Learning works with some of the urban school districts that are trying the hardest to raise their students' achievement. Our work often begins with a "stock-taking" that includes examinations of test data coupled with classroom visits and discussions with teachers aimed at understanding the ongoing teaching program. We ask, "What is your curriculum?" Until recently, in most of these districts, both teachers and administrators described their curriculum by naming a textbook. Further discussion revealed that rather than defining a coherent program or as-

sure to "give higher grades than students' work deserves" and to "reduce the difficulty and amount of work you assign." But that's changing. Between 1994 and 2002, Hart's polls found that the percentage of AFT teachers who believed that academic standards were too low dropped dramatically from 51 percent to just 23 percent. (At the same time, there is increasing dissatisfaction with aspects of reform, including the number of tests that must be given and the time devoted to test preparation.)

■ More struggling students are receiving special interventions: Where states and cities have established clear proficiency standards for promotion to the next grade and for graduation, there has often been a flow of resources and attention to providing interventions for struggling students. In Chicago, for example, when social promotion was ended, the school district created after-school and summer programs (with small classes of about 16 and a specially developed curriculum) for the thousands of students who were in danger of being retained (Roderick et al., 2003). In Charlotte-Mecklenburg, low-achieving students may be reassigned to smaller classes, get special tutoring, receive double doses of reading or math, or go to afterschool or summer programs (Snipes et al.,

Similar efforts are in place in states

such as Virginia and Massachusetts, where students must pass exams to graduate from high school. In Massachusetts, for example, in 2001, just 68 percent of all students—and only 37 percent of black students—passed (on their first try, as sophomores) newly required high school exit tests in math and English. The state, school districts, and non-profit groups have worked to provide failing students with intensive special assistance to master the content included in these tests. By the time these students were seniors (in 2003), 95 percent of students, including 88 percent of blacks, passed both tests (Achieve, 2004). But the state resources that have supported special assistance programs have recently declined, leading education advocacy groups to argue for more resources for pre-K, afterschool, and other programs.

The Center on Education Policy (2005) found that among school districts with schools that failed to make AYP, 99 percent (according to district self-reports) were providing "extra or more intensive instruction to lowachieving students"; 84 percent were providing "before- or after-school, weekend, or summer programs"; and 48 percent were hiring "additional teachers to reduce class size."

Across the country, the students getting these extra services are the very students who, absent these accountability requirements, were neglected in

However, according to CEP, just 20 percent of districts with the neediest students say they have adequate money available to assist schools identified for improvement under AYP.

■ Knowledge about improving achievement in low-performing schools is growing: The standards movement's clear focus on achievement is pushing new investments in researching the effectiveness of specific curricula and is also spawning a great deal of new knowledge about how to help specific schools improve themselves. Examples include the press for schools to use research-based methods (exemplified by the U.S. Department of Education's recently established Institute of Education Sciences), some states' formation of school assistance teams (like the ones that North Carolina created in 1997-98), the research community's drive to develop replicable models for comprehensive school reform (see, for example, the Catalog of School Reform Models at www.nwrel.org/scpd/catalog/

index.shtml), and some think tanks and associations' attempts to distribute reliable information on school improvement research (such as the RAND Corporation's Promising Practices Network, online at www.promisingpractices.net).

—EDITORS

suring a common curriculum for all, the textbook was treated as a resource from which teachers could pick and choose materials for lessons, often adapting the material for their students. Teachers often did not know what their neighbors—teaching the same grade, and the same course, and similar students—were doing with the adopted textbook, or even whether they were seriously using it. Consequently, students often experience a very fragmented program over the course of several years, a situation that is particularly negative when students (and even some teachers) change schools frequently. De facto, then, there often was no coherent curriculum, even within individual schools. Thus, the foundation of poorly aligned standards and tests is now overlaid with weak curriculums—leaving teachers and the educational system with no common anchor except the tests.

change, however. A number of districts, especially urban districts with mobile student populations, are beginning to recognize that a common curriculum across schools is a necessity. To boost student learning, some districts are also realizing that they need to greatly strengthen professional development, giving teachers the knowledge and skills they need to successfully teach challenging student populations that in the old days were expected to put in their seat time but not learn much. These districts are also realizing that effective professional development is based on a particular curriculum; it's not general and vague. In short, effective professional development requires the adoption of a curriculum; and the effective use of the curriculum requires ongoing, classroom-based professional development for teachers.

In response, many districts are going beyond merely adopting textbooks to implementing more fully "designed" curricula. They sometimes adopt programs designed outside the district (e.g., Open Court Reading or Everyday Math). Sometimes, they build district-wide instructional guidance systems that may use a textbook as a base, but add substantially more pedagogical guidance. These instructional guidance systems go well beyond the old "scope and sequence" charts that mainly suggested a flow of content. The new guidance systems can specify sequences of topics, suggest specific instructional practices both from a textbook and "supplementals" (or classroom libraries), the amount of time each topic should take, curriculum-embedded assessment tasks, student work samples, and sometimes, model lessons for use in professional development. Although these instructional curricula are sometimes tightly defined, all of those where we have seen achievement increases specify a mix of conceptual and skill emphasis. None call for teachers to read a "script" to students or to expect preprogrammed answers from them. All depend on providing intensive professional learning opportunities for teachers. These positive results of linking professional development to a specific teaching program are what we might expect given the growing body of research demonstrating that academic achievement increases when professional development focuses on the specific content teachers are expected to teach (Cobb et al., 1991; McCutchen et al., 2002). In one study, for example, David Cohen and Heather

Hill (2001) found that most teachers who reported improved instructional practices had attended substantial training programs focused specifically on the curriculum materials that they used in their classroom. Those teachers' schools also posted higher scores on a state mathematics assessment. By contrast, other professional development programs showed no such effects

What we see, then, is the beginning of an effort in a growing handful of districts to make standards-based reform realize its full vision, not just instruction narrowed to tests. And, where it is happening, student achievement seems to be responding. But the magnitude of the effort being exerted in these districts cannot be minimized. The work we've described is typically being undertaken in large urban districts with strong district leadership and community support, where the infrastructure and economies of scale exist to support the large-scale implementation of curriculum and related professional development. But even in these districts, assembling the resources and know-how has been a challenge. What will be necessary to help other less able districts to move in this direction? What about students in smaller districts that can't afford such an investment? States—probably with federal support of different kinds—are going to have to figure out how to bring curriculum guidance and professional development to a much larger population.

Accountability

And so we arrive at a discussion of accountability. We've seen that the standards that exist around the country are of mixed quality, with many quite weak and vague. Layered on top of these weak standards are tests that are typically not well-aligned; and in almost all cases, the tests measure students' progress on basic knowledge and skills, but rarely on the higher-level cognitive abilities that are included in the state's standards. In many places, there is no detailed curricular guidance that would allow teachers across a district to teach a common curriculum that went beyond what was tested; and without this curriculum, obviously there is not the related training that would support teachers in teaching it.

If you layer high-stakes accountability atop all of this, the formula is complete for allowing a narrow test, focused on the lower end of the curriculum, to hijack broader educational goals. And indeed, in many places, that was beginning to happen even before No Child Left Behind (NCLB). But with the adoption of NCLB, the threat became nationwide. Whatever pressure already existed to teach to the test increased, both because the consequences imposed by NCLB were more dramatic and—due to NCLB's formula for defining whether schools had made "adequate yearly progress" (AYP)—because they affected more schools.

Any accountability system layered on such a weak foundation would cause problems. But NCLB has unique features that cause additional, unique problems. Among them: Its formula for judging whether schools have made AYP does not take into account where a school started or how much progress it has made, which means that schools that have made great progress (but not enough to make AYP) will nonetheless be identified as "in need of improvement" (see

American Educator article, "The AYP Blues,"). The particular consequences that it prescribes and the order in which they are prescribed can mean that wrongly identified schools will be subjected to consequences that can impede their further progress and thus hurt their students.

Further, the requirement that everyone must be proficient by 2014, while meant to encourage states to set high expectations for all types of students, is in reality encouraging states to set lower standards for everyone: The lower the standard, the easier it is for schools to meet the targets and avoid sanctions. Leaving the standards up to states was, of course, among the political compromises that made NCLB possible. It is a "states' rights" and "local control" solution embedded in a national law. But it creates an incentive to lower, rather than raise, expectations. For example, Pennsylvania deliberately lowered its proficiency standards after too many schools failed to clear the AYP bar. Some commentators believe the current law is creating a "race to the bottom," undoing years of gradual rises in expectations and achievement (Ryan, 2004).

But even layered on a weak foundation, accountability, as it has played out, whether under NCLB or under certain state and local systems, has a silver lining that should not be dismissed lightly. It has brought substantial attention to teaching core, basic skills to the lowest-performing students and to a variety of programs that are increasingly aimed at improving the lowest performing schools in a district (see box, p. 8). And, in the case of NCLB (and state and local systems that disaggregate test data according to minority and poverty status), it has brought a special spotlight, and needed instructional focus, to helping poor, minority, ELL, and special education students improve their performance on the narrow (but important) body of skills and knowledge defined by state tests.

Nonetheless, the goals of standards-based education were much broader and higher than this. If we want to realize the benefits of standards-based education for the full range of students, and if we want our lowest performing students to reach the high standards that were originally the hallmark of the standards movement, accountability that is so heavily tied to poor tests and that doesn't assure that teachers get the support they need to teach to the standards will not get us there.

III. Where Do We Go from Here?

If we mean to realize the benefits of standards-based education for the full range of students, and if we want all of our students to reach the high achievement levels that were originally the goal of the standards effort, we will have to attend to more than tests and accountability systems. The nation's efforts to truly realize the goals of standards-based education will be frustrated by the incompleteness of the reforms that have been put in place so far. The instructional support system—curriculum, instructional programs, professional development, targeted interventions for struggling students—that now exists in most districts is not strong enough to produce achievement that goes beyond bringing the basics to a larger group of students. And, if we continue to neglect the

core of the reforms while pressing forward on accountability, we will engender more and more hostility from the public and educators who want what standards-based reform promised: truly high standards for all. Each of the four key elements of the standards-based education system needs attention.

Standards. States need to strengthen the specificity and clarity of their standards so that these standards can adequately play the role of other countries' national curricula. Standards should be clear, specific, and comprehensive enough to serve as the basis for building both good examinations and strong instructional programs. Grade-by-grade standards seem to provide the best guidance. So do standards that specify the kinds of texts to be read, the particular scientific or mathematics concepts to be learned, and detailed and understandable criteria for good writing and other complex skill performances. The danger in a move to specificity—long lists of topical content or mechanical skills to be mastered—has made some educators wary of detailed standards. It is time to take a new look and to find a "Goldilocks" solution—a workable middle ground between too much and too little detail in standards. In this difficult process, states may find it useful to borrow from one another or from existing published syllabi and standards, or to join consortia that are developing shared standards. An example is Achieve's Mathematics Achievement Partnership, a group of nine states working together to raise expectations and improve student performance in middle- and highschool mathematics. As part of the initiative, Achieve has published a framework for what American students need to know in mathematics in the middle grades (Achieve, 2001).

Assessments. There is much to do, as well, on the testing and assessment front. Assessments play a dual role in a standards-based system. They are instruments for monitoring and accountability and, at the same time, they inevitably model and guide instruction. The higher the stakes, the more educators will teach to the tests. Therefore, the higher the stakes, the more important it is that assessments guide educators, and students, toward the kind of learning we truly want. We have seen that most of today's state tests are not well aligned to standards and they are most likely to leave out the most intellectually challenging aspects of the standards. Yet, it is the tests rather than the standards that claim educational attention.

To recapture the intent of the standards-based system, most state assessments need to be redesigned so that they guide teaching in the direction really intended by the standards. This will probably require adding substantial numbers of tasks that require open-ended and constructed responses, as is the practice virtually everywhere else in the world. There is no mystery about how to do this in ways that meet technical standards of measurement. But there is no doubt that standards-referenced assessments that include substantial numbers of open-ended and constructed-response tasks will be costly. Substantial assistance from the federal government is likely to be needed by all but the largest states. Dollars granted to states or consortia for this essential work will help.

Instructional programs and professional development.

With standards and assessments still needing substantial work, it is perhaps not surprising that instructional programs and professional development geared to standards are barely out of their infancy. Here, too, we will need "Goldilocks" solutions that provide guidance that is detailed enough so that teachers don't each have to invent their own program, while leaving enough room for adaptation to students. Recent research has made it clear that professional development works best when it is tied directly to the program that teachers are using with their students. Programs that require teachers to follow word-by-word scripts and extremely prescriptive time schedules are unlikely to engage the best minds and the most committed educators for long. But leaving teachers to guess at what are the best ways to teach does not work either. Again, the task is to find the right balance. But even the best instructional programs will fail with some students; the structure and resources must be available to provide these students with intensive interventions.

Where districts develop their own instructional and professional development systems, "buy-in" may be greater. But only the largest school systems usually have the resources for full program development. States may need to provide more tools and direct assistance than they do now, as well as more financial resources. And there is a key role for the federal government in supporting the development and testing of the kinds of research-based instructional systems that we have referred to as "designed programs."

Accountability. Forms of accountability that keep the education system focused on important academic achievement goals and on equity—providing a high-quality education to all of our students—are essential. As we have noted, many aspects of the current NCLB accountability requirements need to be adjusted. For example, ways need to be found to measure and reward achievement growth, thus taking into realistic account our schools' different starting points. And we need to reconsider some of the accountability requirements for special education students and English language learners. Thoughtful individuals in the states, the federal government, and the research community are at work on these issues, and we remain confident that the accountability provisions of NCLB can be adjusted as we learn more about how it actually works.

However finely tuned the accountability rules, however, they cannot have their intended effect on the quality and accessibility of education unless the first three components of the standards-based system are brought up to par. The accountability aspect of the program is, if anything, running dangerously ahead of the system as a whole. Because the stakes are high, the incentives to match teaching to tests instead of standards are almost irresistible. And if we don't sharpen standards and assess what we really mean by them, the nation is likely to wake up in a few years to find that it has created a "fool's gold" system. We will have more and more of the least valuable coin of the realm; while the high levels of achievement we meant to create will increasingly elude us.

uch has been accomplished since the National Governors Association summit that put standards on the front burner. But, increasing student achievement beyond a relatively low standard will be nearly impossible unless we create the coherent whole that inspired the standards movement 15 years ago.

The original vision of standards-based education, we think, was the right one. And some notable progress has been made: Standards are now in place, although some will need substantial revision before they can adequately guide educators toward the intended high expectations for learning. Accountability has produced unprecedented attention to the very students it had been easy to ignore, or to set low expectations for, in the past. But standards and accountability are only the outer shell of the standards vision. The core of the reforms—aligned, high-quality assessments, instructional programs, and professional learning opportunities—have yet to be realized.

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